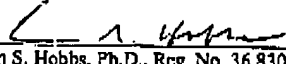


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Ann S. Hobbs, Ph.D., Reg. No. 36,830

DATE: March 30, 2007  
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FILE NO: 42804-205435

**VENABLE, LLP**  
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**U.S. Serial No. 10/501,729**  
**Filed: December 7, 2004**  
**Applicant: Gunter LABAN et al.**

**AMENDMENT UNDER 37 CFR 1.312**

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Atty. Docket No: 42804-205435

Applicant: Gunter LABAN et al.

Title: METHOD FOR PRODUCING THIOCTIC ACID

Application No: 10/501,729

Patent No.:

Filing Date: December 7, 2004

Issue Date:

The following items were received from Venable, Washington, D.C., by the U.S. Patent & Trademark Office:

**U.S. PTO FEES ENCLOSED**

- ☐ Transmittal form
- ☒ **FEE TRANSMITTAL FORM**
- ☒ **AMENDMENT UNDER 37 CFR 1.312**
- ☐ Form SB/08/A
- ☐ References
- ☐ Petition for Extension of time (1 -month)
- ☐ Specification
- ☐ Drawings: \_\_\_\_\_ sheets of Figures \_\_\_\_\_
- ☐ Amendment
- ☐ Invention Declaration (executed, \_\_\_\_\_ pages)
- ☐ Assignment with form PTO 1595
- ☐ Priority Document-Cert. Copy of Appln.#: \_\_\_\_\_ ; Country \_\_\_\_\_
- ☐ Date Filed: \_\_\_\_\_
- ☐ Request for Continued Examination (RCE) under 37 CFR 1.114
- ☐ Notice to File Missing Parts (return copy)
- ☐ Application Data Sheet
- ☐ International Search Report
- ☐ IDS w/PTO Form-1449 w/references)
- ☐ Request for Oral Hearing
- ☐ Preliminary Amendment
- ☐ Petition/Request for Extension of Time (one mo. ext.)
- ☐ Notice of Appeal
- ☐ Appeal Brief (in triplicate)
- ☐ Reply Brief (in triplicate)
- ☐ Confirmation of Hearing Petition
- ☐ Issue Fee Transmittal
- ☐ Petition Fee Under 37 CFR 1.17(f), (g) & (h) Transmittal
- ☐ Processing Fee Under 37 CFR 1.17(l) Transmittal
- ☐ Power of Attorney
- ☐ Response to Notice to File Missing Parts
- ☐ Response to Notice to File Missing Requirements
- ☐ Response to Restriction Requirement
- ☐ Petition to Revive
- ☐ Sequence Listing - Computer Disk Enclosed? ☐ Yes ☐ No
- ☐ Status Inquiry
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- ☐ Request to Rescind Non-Publication Request

- \_\_\_\_\_ Filing Fee
- \_\_\_\_\_ Non-English specification
- \_\_\_\_\_ Multiple Dependent claims
- \_\_\_\_\_ Recordation/Indexing Fee
- \_\_\_\_\_ Claims over 20
- \_\_\_\_\_ Extension Fee
- \_\_\_\_\_ Information Disclosure Statement
- \_\_\_\_\_ Brief on Appeal Fee
- \_\_\_\_\_ Oral Hearing Request Fee
- \_\_\_\_\_ Petition Fee
- \_\_\_\_\_ Issue Fee
- \_\_\_\_\_ Publication Fee
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PTO/SB/17 (12-04v2)

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<b>Effective on 12/08/2004.</b> <b>Fees pursuant to the Consolidated Appropriations Act, 2005 (P.L. 109-171).</b> <b>FEE TRANSMITTAL</b> <b>For FY 2006</b>		<b>Complete if Known</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	10/501,729
<b>TOTAL AMOUNT OF PAYMENT</b>		Filing Date	December 7, 2004
(\$)		First Named Inventor	Gunter LABAN
0		Examiner Name	D. Lambkin
		Art Unit	1626
		Attorney Docket No.	42804-205435

<b>METHOD OF PAYMENT (check all that apply)</b>	
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<input checked="" type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
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<b>FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)</b>							
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>							
<b>Application Type</b>	<b>FILING FEES</b>		<b>SEARCH FEES</b>		<b>EXAMINATION FEES</b>		<b>Fees Paid (\$)</b>
	<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>	<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>	<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
<b>2. EXCESS CLAIM FEES</b>							
<b>Fee Description</b>						<b>Small Entity Fee (\$)</b>	<b>Fee (\$)</b>
Each claim over 20 (including Reissues)						50	25
Each independent claim over 3 (including Reissues)						200	100
Multiple dependent claims						360	180
<b>Total Claims</b>		<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	<b>Multiple Dependent Claims</b>		
- 20 =		x	50	=	<b>Fee (\$)</b>		<b>Fee Paid (\$)</b>
HP = highest number of total claims paid for, if greater than 20.							
<b>Indep. Claims</b>		<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>			
- 3 =		x	200	=			
HP = highest number of independent claims paid for, if greater than 3.							
<b>3. APPLICATION SIZE FEE</b>							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<b>Total Sheets</b>		<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>		
- 100 =		/50	(round up to a whole number) x	=			
<b>4. OTHER FEE(S)</b>							
<b>Fees Paid (\$)</b>							

<b>SUBMITTED BY</b>			
Signature		Registration No. (Attorney/Agent)	36,830
Name (Print/Type)	Ann S. Hobbs, Ph.D.	Telephone	(202) 344-4000
		Date	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Gunter LABAN et al.

Art Unit: 1626

Application No.: 10/501,729

Examiner: Lambkin

Filing Date: December 7, 2004

Atty. Docket No. 42804-205435

For: METHOD FOR PRODUCING  
THIOCTIC ACID

Customer No.

26694

PATENT TRADEMARK OFFICE

AMENDMENT UNDER 37 CFR 1.312

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Prior to issue of the above referenced patent, amendment is requested as noted below.

Amendments to the claims begin on page 2.

Remarks begin on page 4.

Please charge any necessary fee or credit any refund to our deposit account no. 22-0261.